

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>A01N 1/02, C12N 13/00</b>		<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 98/48621</b>
			<b>(43) International Publication Date:</b> 5 November 1998 (05.11.98)
<b>(21) International Application Number:</b> PCT/US98/08522 <b>(22) International Filing Date:</b> 28 April 1998 (28.04.98) <b>(30) Priority Data:</b> 08/841,115 29 April 1997 (29.04.97) US <b>(71) Applicant:</b> NEW YORK BLOOD CENTER, INC. [US/US]; 310 East 67th Street, New York, NY 10021-6295 (US). <b>(72) Inventors:</b> BEN-HUR, Ehud; 500 East 63rd Street #11D, New York, NY 10021 (US). ZUK, Maria, M.; 504 East 63rd Street #17L, New York, NY 10021 (US). <b>(74) Agent:</b> ARNOLD, Craig, J.; Amster, Rothstein & Ebenstein, 90 Park Avenue, New York, NY 10016 (US).			<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> METHODS FOR VIRAL INACTIVATION AND COMPOSITIONS FOR USE IN SAME			
<b>(57) Abstract</b>  The present invention provides methods for reducing the level of infectious virus contained in red blood cell compositions. The methods comprise the steps of contacting the composition with a photosensitizer formulated in a liposome carrier, and exposing the composition to light at a sufficient wavelength, dose and duration to reduce the level of infectious virus contained in the composition. In the methods of the present invention, a quencher, either alone or formulated in a liposome carrier, also may be added to the red blood cell composition before application of light. The present invention also provides compositions containing photosensitizers formulated in specific liposome carriers, as well as quenchers formulated in liposome carriers, for use in the methods of the present invention.			

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Larvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

**METHODS FOR VIRAL INACTIVATION  
AND COMPOSITIONS FOR USE IN SAME**

5

**Statement of Government Interest**

This invention was made with government support under NIH Grant No. 2RO1-HL41221. As such, the government has certain rights in this invention.

10

**Background of the Invention**

Improvements in current practices of viral marker screening and donor self-exclusion are continuously increasing the safety of the blood supply. However, despite these practices, a risk of transmission of pathogens with the transfusion of cellular components of blood remains since current screening tests do not screen for rarely occurring or as yet unknown transfusion transmissible pathogens (Dodd, R.Y. New Engl. J. Med. 327:419-421 (1992); Soland, E.M., et al. J. Am. Med. Assoc. 274:1368-1373 (1995); Schreiber, G.B., et al. New Engl. J. Med. 334:1685-1690 (1996)).

To combat the deficiencies associated with screening practices, the use of sterilization procedures of blood, red blood cell concentrates (RBCC), and other blood-derived components hold promise for eliminating pathogen transmission. In this connection, various approaches have been used to sterilize blood cells, the most efficacious so far use photochemical methods (Ben-Hur, E. and B. Horowitz Photochem. Photobiol. 62:383-388 (1995); Ben-Hur, E. and B. Horowitz AIDS 10:1183-1190 (1996)). The most promising photochemical methods employ the use of phthalocyanines (which are activated by light in the far red (660-700 nm)) for sterilization of RBCC (Horowitz, B., et al. Transfusion 31:102-108 (1991); Ben-Hur, E., et al. J. Photochem. Photobiol. B: Biol. 13:145-152 (1992)).

-2-

Of the phthalocyanines, silicon phthalocyanine Pc4 has been proven to be most effective for inactivation of HIV in its multiple forms (Margolis-Nunno, H., et al. Transfusion 36:743-750 (1996)). However, because Pc4 and  
5 other phthalocyanines target the lipid envelope of viruses and can, therefore, cause red cell damage, quenchers of reactive oxygen species have been used to prevent some of this damage (Rywkin, S., et al. Photochem. Photobiol. 56:463-469 (1992); Ben-Hur, E., et al. Transfusion 35:401-  
10 406 (1995)). The use of high irradiance (Ben-Hur, et al. Photochem. Photobiol. 61:190-195 (1995)) and Chremophor as the vehicle (Ben-Hur, et al. Photochem. Photobiol. 62:575-579 (1995)) also improved the specificity of viral sterilization by Pc4.

15

#### Summary of the Invention

The present invention is directed to an improvement for reducing the level of infectious virus that may be contained in a red blood cell composition,  
20 while simultaneously reducing the damage to red blood cells. Specifically, the inventors of the present invention have found that by incorporating the photosensitizer in a liposome carrier, the photosensitizer is more specific to the infectious virus, and at the same  
25 time, reduces the damage to red blood cells.

Accordingly, the present invention provides a method for reducing the level of infectious virus contained in a red blood cell composition comprising the steps of contacting the composition with a photosensitizer  
30 formulated in a liposome carrier, and exposing the composition to light at a sufficient wavelength, dose and duration to reduce the level of infectious virus contained in the composition.

The present invention also provides a method for  
35 reducing the level of infectious virus contained in a red

-3-

blood cell composition comprising the steps of contacting the composition with (i) a photosensitizer formulated in a liposome carrier, and (ii) a quencher, and exposing the composition to light at a sufficient wavelength, dose and duration to reduce the level of infectious virus contained in the composition.

In addition, the inventors of the present invention have found that by incorporating the quencher in a liposome carrier, and using the same along with the photosensitizer formulated in a liposome carrier, a substantial reduction in the level of infectious virus can be obtained without substantial harm to the red blood cells.

Accordingly, the present invention also provides a method for reducing the level of infectious virus contained in a red blood cell composition comprising the steps of contacting the composition with (i) a photosensitizer formulated in a liposome carrier, and (ii) a quencher formulated in a liposome carrier, and exposing the composition to light at a sufficient wavelength, dose and duration to reduce the level of infectious virus contained in the composition.

The present invention further provides compositions containing photosensitizers formulated in specific liposome carriers, as well as quenchers formulated in liposome carriers, for use in the methods of the present invention.

Additional objects of the present invention will be apparent from the description which follows.

30

#### Brief Description of the Figures

Figure 1 represents inactivation of VSV in RBCC. Pc4 was added in the indicated delivery vehicle at a final concentration of 2  $\mu$ M and exposed to a broad band of red light at room temperature as described in the Materials

-4-

and Methods. Pre-irradiation incubation time at room temperature is indicated.

Figure 2 represents RBC hemolysis during storage following virucidal treatment. Pc4 was added into RBCC in the indicated delivery vehicle at a final concentration of 2  $\mu$ M and exposed to 90 J/cm<sup>2</sup> broad band red light at room temperature after the indicated incubation time at room temperature. The treated RBCC were stored at 4°C prior to assay.

10 Figure 3 represents VSV inactivation in RBCC by Pc4 and red light. Pc4 was added to RBCC in the indicated liposomal formulations at 2  $\mu$ M and exposed to 668 nm light at room temperature after 5 minutes incubation.

Figure 4 represents RBC hemolysis during storage following virucidal treatment. PC4 was added to RBCC in the indicated liposomal formulations at 2  $\mu$ M and exposed to 668 nm light at room temperature and the indicated dose after 5 minutes incubation. The treated RBCC were stored at 4°C prior to assay.

20 Figure 5 represents inactivation of VSV in RBCC. Pc4 was added to RBCC in POPC:DOPS = 4:1 liposomes at 2  $\mu$ M and exposed to either 668 or 700 nm light at 4°C after 5 minutes incubation at 4°C.

Figure 6 represents RBC hemolysis during storage following virucidal treatment. Pc4 was added to RBCC in POPC:DOPS = 4:1 liposomes at 2  $\mu$ M and exposed to 10 J/cm<sup>2</sup> of either 668 or 700 nm light at 4°C after 5 minutes incubation at 4°C. The treated RBCC were stored at 4°C prior to assay.

30 Figure 7 represents circulatory survival of rabbit RBC after virucidal treatment. Pc4 was added to rabbit RBCC in POPC:DOPS = 4:1 liposomes at 2  $\mu$ M and exposed to 0, 10 and 15 J/cm<sup>2</sup>, as indicated, of 700 nm light at room temperature after 5 minutes pre-irradiation incubation. The treated RBCC were labeled with <sup>51</sup>CrO<sup>2-</sup> and

35

-5-

their circulatory survival assayed as described in Materials and Methods.

Figure 8 represents RBC hemolysis during storage following virucidal treatment. Pc4 was added to RBCC in  
5 POPC:DOPS = 4:1 liposomes at 2  $\mu$ M, together with 4 mM cysteine, 1 mM tocopherol succinate and 0.5 mM carnitine. Light exposure was at 670 nm from LED array at a dose of 15 J/cm<sup>2</sup>. The control was untreated RBCC.

#### 10 Detailed Description of the Invention

The present invention provides a method for reducing the level of infectious virus that may be contained in a red blood cell composition. The method comprises the steps of contacting the composition with a  
15 photosensitizer formulated in a liposome carrier, and exposing the composition to light at a sufficient wavelength, dose and duration to reduce the level of infectious virus contained in the composition.

As used herein, "red blood cell composition"  
20 includes whole blood, RBCC and any other composition that contains red blood cells. "Virus" includes human immunodeficiency virus, Cytomegalovirus, Epstein-Barr virus, Hepatitis B virus, Hepatitis C virus, Herpes Simplex type I and II viruses, and other viruses that  
25 circulate in freely in the composition, as well as cell-associated viruses. "Reducing the level of infectious virus" means that the all or substantially all of the infectious virus is destroyed or inactivated.

Suitable photosensitizers include but are not  
30 limited to phthalocyanines, porphyrins, purpurins, psoralens, bergaptens, angelicins, chlorins and flavins. Particularly preferred photosensitizers are those compounds which absorb in the red region of the visible spectrum such as phthalocyanines. Suitable  
35 phthalocyanines include but are not limited to

-6-

phthalocyanines containing a central atom of aluminum, germanium, gallium, tin or silicon such as silicon phthalocyanine (i.e. hydroxysiloxydimethylpropyl-N-dimethyl silicon phthalocyanine, "Pc4")), as well as  
5 sulfonated or nitrated forms of such phthalocyanines, such as sulfonated aluminum phthalocyanine (i.e. aluminum tetrasulfo-phthalocyanine ("AlPcS<sub>4</sub>") or aluminum disulfophthalocyanine ("AlPcS<sub>2a</sub>"). Such phthalocyanines and others are described in Spikes, J. Photochemistry and  
10 Photobiology 43:691-699 (1986); Ben-Hur, E. and Rosenthal, I. Int. J. Radiat. Biol. 47:145-147 (1985); Moser, F.H. and Thomas, A.C. The Phthalocyanines, Boca Raton, CRC Press, 1984; Kreimer-Birnbaum, M. Sem. Hematol. 26:157-193 (1989); and U.S. Patent Nos. 5,120,649, 5,232,844 and  
15 5,484,778, which are hereby incorporated by reference in their entirety. In the most preferred embodiment, the photosensitizer is Pc4.

The photosensitizer may be formulated in the liposome carrier by mixing the desired amount of the  
20 photosensitizer with the liposome carrier using procedures well known in the prior art. The liposome carrier may comprise at least one natural phospholipid (e.g. soy phosphatidyl choline), at least one synthetic phospholipid, or combinations thereof. Suitable synthetic  
25 liposome carriers include but are not limited to one or more of the following: 1-palmitoyl-2-oleoyl-sn-glycero-phosphocholine (POPC), 1,2-dioleoyl-sn-glycero-3-[phospho-L-serine] (DOPS), 1,2-dioleoyl-sn-glycero-3-phosphate (PA), 1,2-dipalmitoyl-sn-glycero-3-phosphocholine (DPPC),  
30 1,2-distearyl-sn-glycero-3-[phospho-rac-(1-glycerol)] sodium salt (DSPG), and 1,2-distearyl-sn-glycero-3-phosphocholine (DSPC). Preferably, the liposome carrier comprises POPC and DOPS at a ratio of 10:1-0.5:1. Most preferably, POPC and DOPS are used at a ratio of about



-7-

4:1, since this results in the least amount of damage to red blood cells.

The photosensitizer is added to the red blood cell composition in an amount effective to undergo a reaction and damage or destroy infectious virus upon application of light at a sufficient wavelength, dose and duration. The specific concentration will depend upon the photosensitizer chosen. However, the inventors have found that when Pc4 is the photosensitizer, the ratio of Pc4:liposome should be about 1:100-1:10. The final concentration of PC4 in the red blood cell composition is preferably about 0.5-10  $\mu\text{M}$ , and most preferably is about 1-5  $\mu\text{M}$ .

After the photosensitizer/liposome formulation is added to the red blood cell composition, it is desirable not to wait too long before applying light. The inventors have found that the longer the photosensitizer/liposome formulation is in contact with the red blood cell composition, the more likely it will dissolve releasing the photosensitizer into the composition, and causing hemolysis of the red blood cells. Accordingly, the inventors have found that light should be applied within 30 minutes, more preferably within about 10 minutes, and most preferably within about 5 minutes, after adding the photosensitizer/ liposome formulation to the red blood cell composition.

The light is applied at a sufficient wavelength, dose and duration to reduce the level of infectious virus contained in the composition. It is preferred that this wavelength, dose and duration is chosen to maximize the inactivation of infectious virus, and at the same time, to minimize the damage to the red blood cells. The wavelength of light applied preferably corresponds to the maximum absorption of the photosensitizer. For example, when the photosensitizer is Pc4, it is preferred that the

-8-

light be applied at a specific wavelength somewhere in the range of 665-705 nm, and most preferably at a specific wavelength in the range of 670-680 nm. The dose of light applied depends upon the volume of the red blood cell composition to be treated, and can range anywhere from 5 J/cm<sup>2</sup> to 200 J/cm<sup>2</sup>. At such doses of light, the composition can be treated for 5 minutes to 3 hours, and preferably for 10 minutes to 1 hour. For volumes of 50 ml, and using Pc4 as the photosensitizer, the inventors have found that the application of light at a wavelength of about 675 nm, at dose of 55 J/cm<sup>2</sup>, for a duration of 10 minutes, results in the best viral reduction of HIV with the least amount of RBC hemolysis. Suitable sources of light include commercially available lasers, lamps, light emitting diodes and the like. Preferably, a LED arrays (Efos Canada, Inc., Mississauga, Ontario, Canada) is employed. To achieve the desired wavelength of light, the lamp may be equipped with commercially available filters.

It also is within the confines of the present invention that one or more quenchers can be added to the red blood cell composition before, during or after the addition of the photosensitizer/liposome formulation. Suitable quenchers include but are not limited to glutathione, trolox, flavonoids, vitamin C, vitamin E, cysteine and ergothioneine and other non-toxic quenchers. The concentration of the quencher contained in the red blood cell composition will depend upon the specific quencher(s) chosen and can be determined by one skilled in the art. However, when the quencher is vitamin E, the preferred concentration ranges from 0.1 mM to 2 mM, and is most preferably 1 mM.

In addition, it is within the confines of the present invention that one or more quenchers can be formulated in a liposome carrier to enhance the association of the quencher to the red blood cells, thus

affording a more selective protection to the red blood cells. Suitable liposome carriers include those carriers that enhance delivery of the quencher to the red blood cells, such as liposomes containing cholesterol, liposomes made from natural phospholipids (e.g. soy phosphatidyl choline (PC)), and POPC. Preferably, the quencher is vitamin E, and the liposome carrier is POPC. When using vitamin E and POPC, the preferred vitamin E:POPC ratio is 1:5-1:3, and most preferably is about 1:3.7.

The present invention is described in the following Examples which are set forth to aid in an understanding of the invention, and should not be construed to limit in any way the invention as defined in the claims which follow thereafter.

#### EXAMPLE 1

##### Materials and Methods

Preparation of Pc4 in Liposomes. The following procedure describes the preparation of  $\text{HOSiPcOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}(\text{CH}_3)_2$  (Pc4) in liposomes composed of phosphatidyl choline and phosphatidyl serine. Pc4 (1 mg) was dissolved in 0.5 ml of N-methylpyrrolidone (NMP) prewarmed to 50°C and sonicated 1-2 minutes. 1-palmitoyl-2-oleoyl-*sn*-glycero-phosphocholine (POPC) (90 mg) was dissolved in *tert*-butyl alcohol (0.5 ml), and prewarmed to 50°C. Similarly, 1,2-dioleoyl-*sn*-glycero-3-phospho-L-serine (DOPS) (10 mg) was dissolved in *tert*-butyl alcohol (0.5 ml), and prewarmed to 50°C. The solutions were then sonicated at 50°C until complete dissolution occurred. The phospholipid solutions were combined and kept at 50°C. The Pc4 solution was combined with the phospholipid solution at 50°C to achieve a ratio of Pc4:phospholipid = 1:100 (w/w). This was mixed with excess (16x) of aqueous solution containing 9.45% D-lactose and 0.027% NaCl. Prior to mixing, the organic solution should be at 50°C and the aqueous solution at 4°C.

-10-

The former was added dropwise into the latter with vigorous stirring. Stirring was continued for 15 minutes at 4°C. The resulting liposomal suspension was concentrated (10x) with 100 kDa Amicon concentrator and centrifuged at 3000 rpm at room temperature. The remaining organic solvents were removed by dialysis against lactose/NaCl solution at 4°C using a dialysis membrane with a MW cutoff of 6000-8000. The dialysis solution was changed 3x every few hours. The final liposomal solution of Pc4 was lyophilized and stored at 4°C. Prior to use, the liposomes were dissolved in phosphate buffered saline (PBS) using a vortex for mixing followed by 1-2 minutes of sonication.

The same procedure was used to prepare Pc4 in liposomes of various other compositions by substituting POPC and DOPS with the following: 1,2-dioleoyl-sn-glycero-3-phosphate (PA); 1,2-dipalmitoyl-sn-glycero-3-phosphocholine (DPPC); 1,2-distearyl-sn-glycero-3-[phospho-rac-(1-glycerol)] sodium salt (DSPG); 1,2-distearyl-sn-glycero-3-phosphocholine (DSPC); cholesterol (chol) and 1-palmitoyl-2-oleoyl-sn-glycero-3-phosphoethanolamine-N-[poly(ethylene glycol) 5000] (PEG).

Photodynamic Treatment. RBCC samples (3 ml in polystyrene tubes) at hematocrit of 58-60% in ADSOL (obtained from Blood Services, New York Blood Center) were irradiated with red light either as a broad band (600-800 nm) or as a narrow band at 668 nm or 700 nm. Broad band light was from a 300 W xenon lamp (Oriel Corp., Stratford, CT) equipped with a cutoff filter ( $\lambda > 600$  nm) with an irradiance of 25 mW/cm<sup>2</sup>. Narrow band light (27 nm bandwidth at half-height) was from LED arrays (Efes Canada, Inc., Mississauga, Ontario, Canada) emitting at either 668 nm or 700 nm with an irradiance of 50 mW/cm<sup>2</sup>. During irradiation the samples were rotated and rolled on

-11-

a hematology mixer (Fisher Scientific, Inc., Pittsburgh, PA) to obtain even exposure. Temperature was kept at 25 or 4°C by an air stream of appropriate temperature.

5 Virus Inactivation. Inactivation of VSV in RBCC was studied using a standard infectivity assay (Margolis-Nunno, H., et al. Transfusion 36:743-750 (1996)). Prior to photodynamic treatment, RBCC samples were spiked with 10<sup>7</sup> infectious units per ml together with Pc4 at 2μM final  
10 concentration and the quenchers glutathione and trolox at 4 and 5 mM, respectively. After treatment, samples were diluted 10-fold with Dulbecco's modified Eagle's medium containing 5% fetal calf serum and centrifuged at 1900 rpm for 5 minutes to remove the red cells. The supernatants  
15 were sterile filtered using 0.22 μm filters (Millipore, Bedford, MA) and either stored at -80°C or assayed immediately for virus infectivity.

Pc4 Analysis in RBCC. The amount of Pc4 bound to RBC in  
20 the RBCC was measured using HPLC and extraction procedure described previously (Zuk, M.M., et al. J. Chromat. B Biomed. Appl. 673:220-224 (1995)). Amount of Pc4 was expressed as a percentage of the total recovered in RBCC. Recovery of Pc4 was about 90% (± 10%).

25 RBC Hemolysis. Samples of RBCC were stored after treatment at 4°C. The extent of hemolysis was determined by comparing the hemoglobin in the supernatant to the total hemoglobin. The total hemoglobin was determined by  
30 using the Drabkin reagent (Sigma Procedure #525, Sigma Chemical Co., St. Louis, MO). The absorption at 540 nm was used to calculate the amount of hemoglobin released in the supernatant.

-12-

Circulatory Survival of RBC. Rabbit RBCC were treated as described for human red cells and their circulatory survival was determined as described previously (Rywkin, S., et al. Photochem. Photobiol. 56:463-469 (1992).

5 Briefly, treated red cells were labelled with  $1\mu\text{Ci/ml Na}_2^{51}\text{CrO}_4$  for 1 hour at room temperature and then thoroughly washed with PBS. The labelled cells were infused autologously. At intervals, 1 ml blood was withdrawn and the radioactivity associated with RBC was determined.

10

### Results

The usefulness of liposomes as a delivery vehicle for Pc4 as a photosensitizer for inactivation of lipid-enveloped viruses in RBCC was assessed using VSV as  
15 a model virus. Figure 1 shows that the rate of inactivation of VSV does not depend on time of incubation of liposomal Pc4 prior to light exposure and that it is similar to that obtained when Pc4 is delivered in an organic solvent (DMSO) or in a detergent-like agent  
20 (Cremophor). However, binding of Pc4 to red cells does depend on the delivery vehicle and, in the case of liposomes, on the pre-irradiation incubation time (Table 1). These results suggested that exposure to light after short incubation times with liposomal Pc4 should result in  
25 less damage to red cells. Figure 2 shows this is indeed the case. Treated red cells were stored and their hemolysis followed, and as expected from the binding studies, formulation of Pc4 in liposomes resulted in less hemolysis, and incubation for 5 minutes was better than  
30 for 30 minutes.

The effect of the liposome composition on Pc4 binding to red cells is shown in Table 2. There were large differences in binding depending on composition. Compositions containing PEG or cholesterol displayed  
35 greatly enhanced binding. This was also reflected in

-13-

greatly enhanced hemolysis of red cells (data not shown). Comparison of Tables 1 and 2 shows that Pc4 in POPC:DOPS = 9:1 at room temperature is more binding to RBC than at 4°C. VSV kill was not affected by the incubation temperature. Liposomal compositions showing reduced Pc4 binding to red cells were compared with respect to VSV inactivation (Figure 3). There was about 2-fold difference in the dose required for complete virus kill ( $\geq 5 \log_{10}$ ), 5-12 J/cm<sup>2</sup>. For each liposomal preparation tested in Figure 3, and equivirucidal light dose resulting in complete VSV kill was used to test RBC damage. The treated RBCC were stored after treatment and hemolysis followed over time. The results (Figure 4) show great differences in the ability of equivirucidal doses to cause RBC damage, depending on the liposome composition. Since POPC:DOPS = 4:1 resulted in least RBC damage, this composition was chosen for further studies.

In further studies, LED arrays emitting at either 668 or 700 nm were compared. Figure 5 shows that both light sources were equally effective for inactivation of VSV. However, when RBCC were treated with 10 J/cm<sup>2</sup> from either light source and then stored at 4°C, hemolysis developed in samples treated with 668 nm LED much faster than in those treated with 700 nm LED (Figure 6). In the latter, the rate of hemolysis was only slightly faster than in control, untreated RBCC.

The ability of treated RBC to circulate *in vivo* was tested in rabbits and in baboons. Some reduction of the circulatory half-life was observed, from 10.5 days in control to 7.5 days after treatment with 10 J/cm<sup>2</sup> (Figure 7). In preliminary studies in baboons, whose RBC are more similar to those of humans, treatment with liposomal Pc4 and 10 J/cm<sup>2</sup> of 700 nm light had only slight effect on the circulatory half-life, reducing it from 13.2 days to 11.6

-14-

days (not shown). It should be noted that 24 hour recovery *in vivo* of the treated RBC always exceeded 90%.

### Discussion

5           Enhancement of the specificity of Pc4 for virus inactivation in RBCC was studied using two different approaches. First, Pc4 was formulated in liposomes and the liposome compositions were optimized so that binding of Pc4 to red cells was minimized while maintaining good  
10 virus kill. Second, a light source LED array emitting a narrow band at 700 nm, corresponding to the spectrum region where Pc4-induced red cell damage is minimal and virus kill is significant, was selected (Ben-Hur, E., et al. Lasers Med. Sci. 11:221-225 (1996)). When these two  
15 approaches were combined hemolysis following a virucidal light dose (10 J/cm<sup>2</sup>) did not exceed 1% over 3 weeks storage and circulatory half-life and 24 hour recovery were close to normal in rabbits and essentially normal in baboons.

20           With regard to the liposome composition, a specific feature that makes liposomes optimal for delivery of Pc4 has not been identified. Attaching PEG and producing "stealth" liposomes, an approach used to prevent removal of liposomes by the reticuloendothelial system  
25 (Allen, T.M. Trends Phar. Sci. 15:215-220 (1994)), enhanced Pc4 binding to red cells (Table 2), and resulted in massive hemolysis. Incorporation of cholesterol in the liposomes resulted in even higher Pc4 binding to red cells. Liposomes composed of the synthetic phospholipids  
30 POPC and DOPS gave optimal results. Interestingly, although increasing the ratio of the charged moiety DOPS from 9:1 to 1:1 resulted in progressively reduced binding of Pc4 to red cells (Table 2), minimal hemolysis was obtained with a ratio of 4:1 (Figure 4). The reasons for  
35 this apparent discrepancy are not known. One possibility



-15-

could be greater lability of the more negatively charged liposomes to photodynamic degradation during light exposure. The Pc4 released would be available for binding to red cells. Greater lability could result from the presence of two oleic acid moieties in DOPS compared to one in POPC. Unsaturated fatty acids are more prone to oxidative damage than saturated ones.

It is worthy of note that virucidal treatment (10 J/cm<sup>2</sup> of 700 nm light) which reduced the circulatory survival of rabbit red cells by about 30% (Figure 7) had only a slight effect on baboon red cells. This is not surprising since rabbit red cells are more susceptible than primate red cells, as observed by us before using another phthalocyanine photosensitizer (Rywkin, S., et al. Photochem. Photobiol. 56:463-469 (1992); Horowitz, B., et al. Blood Cells 18:141-150 (1992)). Based on this result it is concluded that human red cells may also circulate normally after virucidal treatment with Pc4 and 700 nm light, and that this procedure is useful for sterilizing RBCC.

Table 1

Binding of Pc4 to RBC as a Function  
of Incubation Time and Delivery Vehicle<sup>1</sup>

Incubation Time (min)	Pc4 Bound to RBC (%)		
	<u>DMSO</u>	<u>CRM</u>	<u>Liposomes</u> <sup>2</sup>
0	54	59	6
5	54	58	9
30	56	58	15
90	54	64	44

<sup>1</sup> Pc4 was added into RBCC at 2  $\mu$ M final concentration. At various times thereafter at room temperature, RBC were separated by centrifugation, rinsed 5 times with PBS, and Pc4 was extracted and analyzed by HPLC, as described. Pc4 not bound to RBC was recovered quantitatively from the plasma.

<sup>2</sup> Liposomes were composed of POPC:DOPS = 9:1.

Table 2

Binding of Pc4 to RBC When  
Formulated in Various Liposomes<sup>1</sup>

<u>Liposomal Preparation</u>	<u>Pc4 Bound to RBC (%)</u>
POPC:DOPS = 9:1	4.8
POPC:DOPS = 4:1	4.4
POPC:DOPS = 1:2	1.0
POPC:DOPS = 1:1	0.8
POPC:DOPS:PEG = 8:1:1	23.5
POPC:DOPS:chol = 9:1:10	33.7

- <sup>1</sup> Pc4 was added into RBCC at 2  $\mu$ M final concentration formulated in the indicated liposomes. After 35 minutes at 4°C, RBC were separated by centrifugation, rinsed 5 times with PBS, and Pc4 was analyzed by HPLC, as described.

-17-

EXAMPLE 2

Pc4 was added at a final concentration of 2  $\mu$ M, together with 4 mM cysteine, 1 mM tocopherol succinate and 0.5 mM carnitine, to 3 ml test tubes and 50 ml pediatric blood bags containing RBCC (50% hematocrit) spiked with VSV, BDV or HIV. Light exposure was at 670 nm from LED array at an irradiance of 20 mW/cm<sup>2</sup> (i.e. 10 minute exposure resulted in 12 J/cm<sup>2</sup>). The dosages of light applied correspond to  $\geq 6$  log<sub>10</sub> virus kill, and were determined from inactivation kinetics (dose-response curve). The results of the virus kill are presented in Table 3 below, while the hemolysis of RBC during storage after virucidal treatment is presented in Figure 8.

Table 3

Virus Inactivation in RBCC Using PC4 and Red Light

<u>Virus</u>	<u>Light Dose (J/cm<sup>2</sup>)</u>	
	<u>Test Tubes</u>	<u>Blood Bags</u>
VSV	5	22
BDV	7	30
HIV (cell-free)	15	55
HIV (cell-assoc.)	15 <sup>1</sup>	55

<sup>1</sup> At this dose there was only 4 log<sub>10</sub> kill.

-18-

EXAMPLE 3

RBCC were treated with 2  $\mu$ M Pc4 in POPC:DOPC = 4:1 liposomes in the presence of 1 mM tocopherol succinate in the formulations presented in Table 4 below, and exposed to 10 J/cm<sup>2</sup> of red light (670 nm) emitted by LED array. The RBCC were then stored for 21 days at 4°C. The percentage of hemolysis for each formulation is presented in Table 4 below.

Table 4

<u>Formulation of <math>\alpha</math>-tocopherol succinate (1 mM)</u>	<u>Hemolysis After 21 Day Storage (%)</u>
Ethanol	10.5
POPC:DOPS = 4:1	18.3
POPC:DOPS:chol = 9:1:10	6.1
POPC	1.2
Untreated RBCC	1.1

All publications and patents mentioned hereinabove are hereby incorporated by reference in their entirety. While the foregoing invention has been described in some detail for purposes of clarity and understanding, it will be appreciated by one skilled in the art from a reading of the disclosure that various changes in form and detail can be made without departing from the true scope of the invention in the appended claims.

-19-

## What is Claimed:

1. A method for reducing the level of infectious virus that may be contained in a red blood cell composition comprising the steps of contacting the  
5 composition with a photosensitizer formulated in a liposome carrier, and exposing the composition to light at a sufficient wavelength, dose and duration to reduce the level of infectious virus contained in the composition.
2. The method of Claim 1, wherein the red  
10 blood cell composition is whole blood or a red blood cell concentrate.
3. The method of Claim 1, wherein the virus is selected from the group consisting of human immunodeficiency virus, Cytomegalovirus, Ebstein-Barr  
15 virus, Hepatitis B virus, Hepatitis C virus, and Herpes Simplex viruses.
4. The method of Claim 1, wherein the photosensitizer is selected from the group consisting of a phthalocyanine, a porphyrin, a purpurin, a psoralen, a  
20 bergapten, an angelicin, a chlorin, and a flavin.
5. The method of Claim 4 wherein the photosensitizer is a phthalocyanine.
6. The method of Claim 5, wherein the phthalocyanine is an aluminum, germanium, gallium, tin or  
25 silicon phthalocyanine; a sulfonated- aluminum, germanium, gallium, tin or silicon phthalocyanine; or a nitrated- aluminum, germanium, gallium, tin or silicon phthalocyanine.
7. The method of Claim 6, wherein the  
30 phthalocyanine is a sulfonated aluminum phthalocyanine.
8. The method of Claim 7, wherein the sulfonated aluminum phthalocyanine is aluminum tetrasulfophthalocyanine or aluminum disulfophthalocyanine.

-20-

9. The method of Claim 6, wherein the phthalocyanine is a silicon phthalocyanine.

10. The method of Claim 9, wherein the silicon phthalocyanine is hydroxysiloxydimethylpropyl-N-dimethyl  
5 silicon phthalocyanine (Pc4).

11. The method of Claim 10, wherein the concentration of Pc4 in the red blood cell composition is 0.5-10  $\mu\text{M}$ .

12. The method of Claim 10, wherein the  
10 concentration of Pc4 in the red blood cell composition is 1-5  $\mu\text{M}$ .

13. The method of Claim 1, wherein the light is applied  $\leq 30$  minutes after contacting the red blood cell composition with the photosensitizer formulated in the  
15 liposome carrier.

14. The method of Claim 1, wherein the light is applied  $\leq 10$  minutes after contacting the red blood cell composition with the photosensitizer formulated in the liposome carrier.

20 15. The method of Claim 1, wherein the light is applied  $\leq 5$  minutes after contacting the red blood cell composition with the photosensitizer formulated in the liposome carrier.

25 16. The method of Claim 1, wherein the light is applied at a wavelength that corresponds to the maximum absorption of the photosensitizer.

17. The method of Claim 1, wherein the light is applied at a dose of 5-200 J/cm<sup>2</sup>.

30 18. The method of Claim 1, wherein the light is applied for 5 minutes to 3 hours.

19. The method of Claim 1, wherein the liposome carrier comprises at least one natural phospholipid, at least one synthetic phospholipid, or combinations thereof.

35 20. The method of Claim 1, wherein the liposome carrier comprises 1-palmitoyl-2-oleoyl-sn-glycero-

-21-

phosphocholine (POPC) and 1,2-dioleoyl-*sn*-glycero-3-[phospho-L-serine] (DOPS).

21. The method of Claim 20, wherein the ratio of POPC to DOPS is 10:1-0.5:1.

5 22. The method of Claim 20, wherein the ratio of POPC to DOPS is about 4:1.

23. The method of Claim 1, which further comprises contacting the composition with at least one quencher before exposing the composition to light.

10 24. The method of Claim 23, wherein the quencher is selected from the group consisting of flavonoids, vitamin C, vitamin E, glutathione, trolox, cysteine, ergothioneine, and other non-toxic quenchers.

15 25. The method of Claim 23, wherein the quencher is formulated in a liposome carrier.

26. The method of Claim 25, wherein the liposome carrier comprises at least one natural phospholipid, at least one synthetic phospholipid, or combinations thereof.

20 27. The method of Claim 25, wherein the liposome carrier comprises cholesterol.

28. The method of Claim 25, wherein the liposome carrier comprises soy phosphatidyl choline (PC).

25 29. The method of Claim 25, wherein the liposome composition comprises 1-palmitoyl-2-oleoyl-*sn*-glycero-phosphocholine (POPC).

30. The method of Claim 29, wherein the quencher is vitamin E.

30 31. The method of Claim 30, wherein the ratio of vitamin E to POPC is 1:5-1:3.

32. The method of Claim 30, wherein the ratio of vitamin E to POPC is about 1:3.7.

34. A composition comprising a photosensitizer, 1-palmitoyl-2-oleoyl-*sn*-glycero-phosphocholine (POPC) and  
35 1,2-dioleoyl-*sn*-glycero-3-[phospho-L-serine] (DOPS).

-22-

35. The composition of Claim 34, wherein the photosensitizer is selected from the group consisting of a phthalocyanine, a porphyrin, a purpurin, a psoralen, a bergapten, an angelicin, a chlorin, and a flavin.

5 36. The composition of Claim 35, wherein the photosensitizer is a phthalocyanine.

37. The composition of Claim 36, wherein the phthalocyanine is an aluminum, germanium, gallium, tin or silicon phthalocyanine; a sulfonated- aluminum, germanium, gallium, tin or silicon phthalocyanine; or a nitrated- aluminum, germanium, gallium, tin or silicon phthalocyanine.

38. The composition of Claim 37, wherein the phthalocyanine is a sulfonated aluminum phthalocyanine.

15 39. The composition of Claim 38, wherein the sulfonated aluminum phthalocyanine is aluminum tetrasulfophthalocyanine or aluminum disulfophthalocyanine.

20 40. The composition of Claim 37, wherein the phthalocyanine is a silicon phthalocyanine.

41. The composition of Claim 40, wherein the silicon phthalocyanine is hydroxysiloxymethylpropyl-N-dimethyl silicon phthalocyanine.

25 42. The composition of Claim 34, wherein the ratio of POPC to DOPS is 10:1-0.5:1.

43. The composition of Claim 34, wherein the ratio of POPC to DOPS is about 4:1.

44. A composition comprising at least one quencher formulated in a liposome carrier.

30 45. The composition of Claim 44, wherein the quencher is selected from the group consisting of flavonoids, vitamin C, vitamin E, glutathione, trolox, cysteine, ergothioneine, and other non-toxic quenchers.

35 46. The composition of Claim 45, wherein the liposome carrier comprises cholesterol.



-23-

47. The composition of Claim 45, wherein the liposome carrier comprises soy phosphatidyl choline (PC).

48. The composition of Claim 45, wherein the liposome composition comprises 1-palmitoyl-2-oleoyl-*sn*-glycero-phosphocholine (POPC).

49. The composition of Claim 48, wherein the quencher is vitamin E.

50. The composition of Claim 49, wherein the ratio of vitamin E to POPC is 1:5-1:3.

51. The composition of Claim 49, wherein the ratio of vitamin E to POPC is about 1:3.7.

1/8

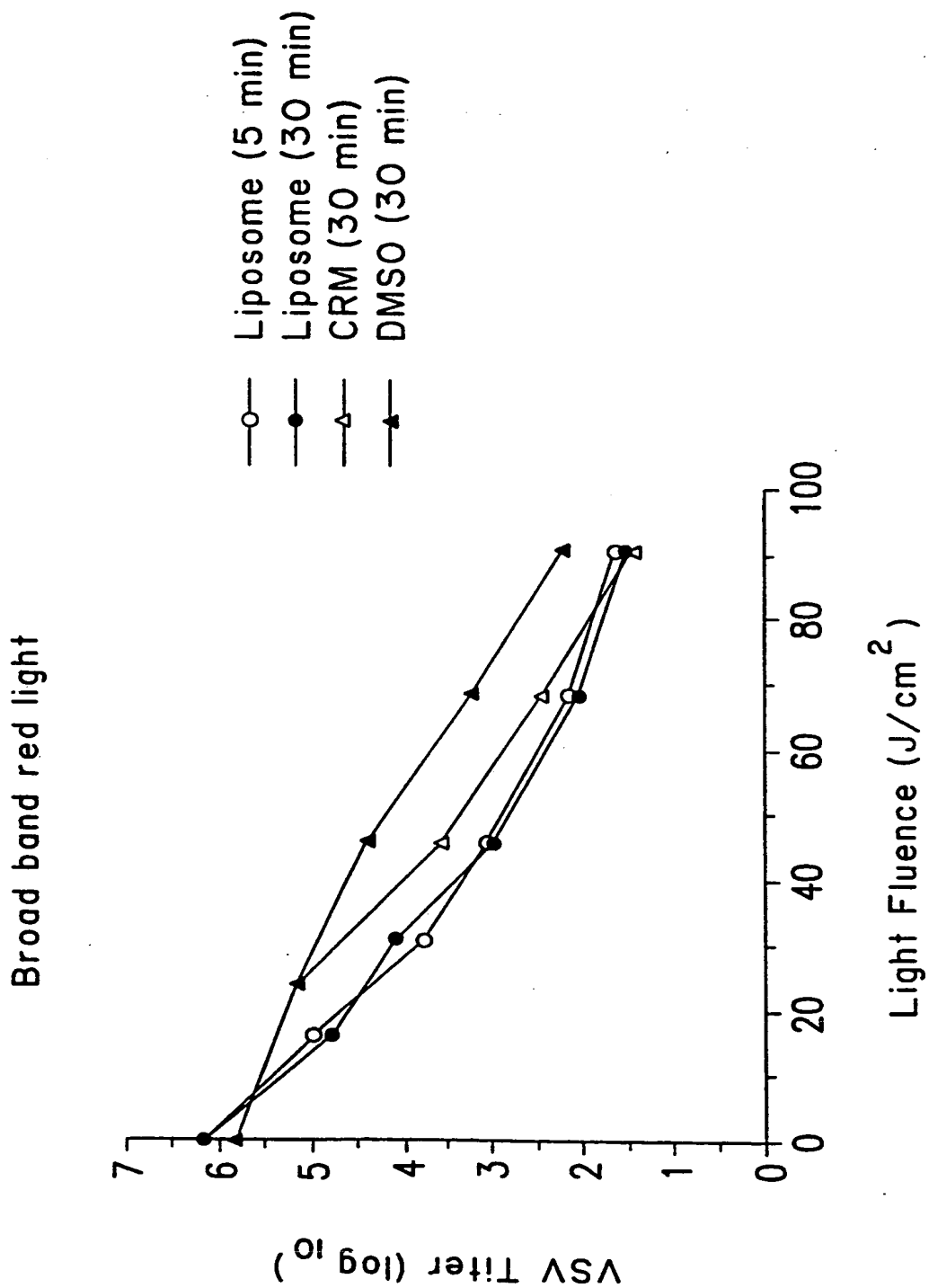


FIG. 1

2/8

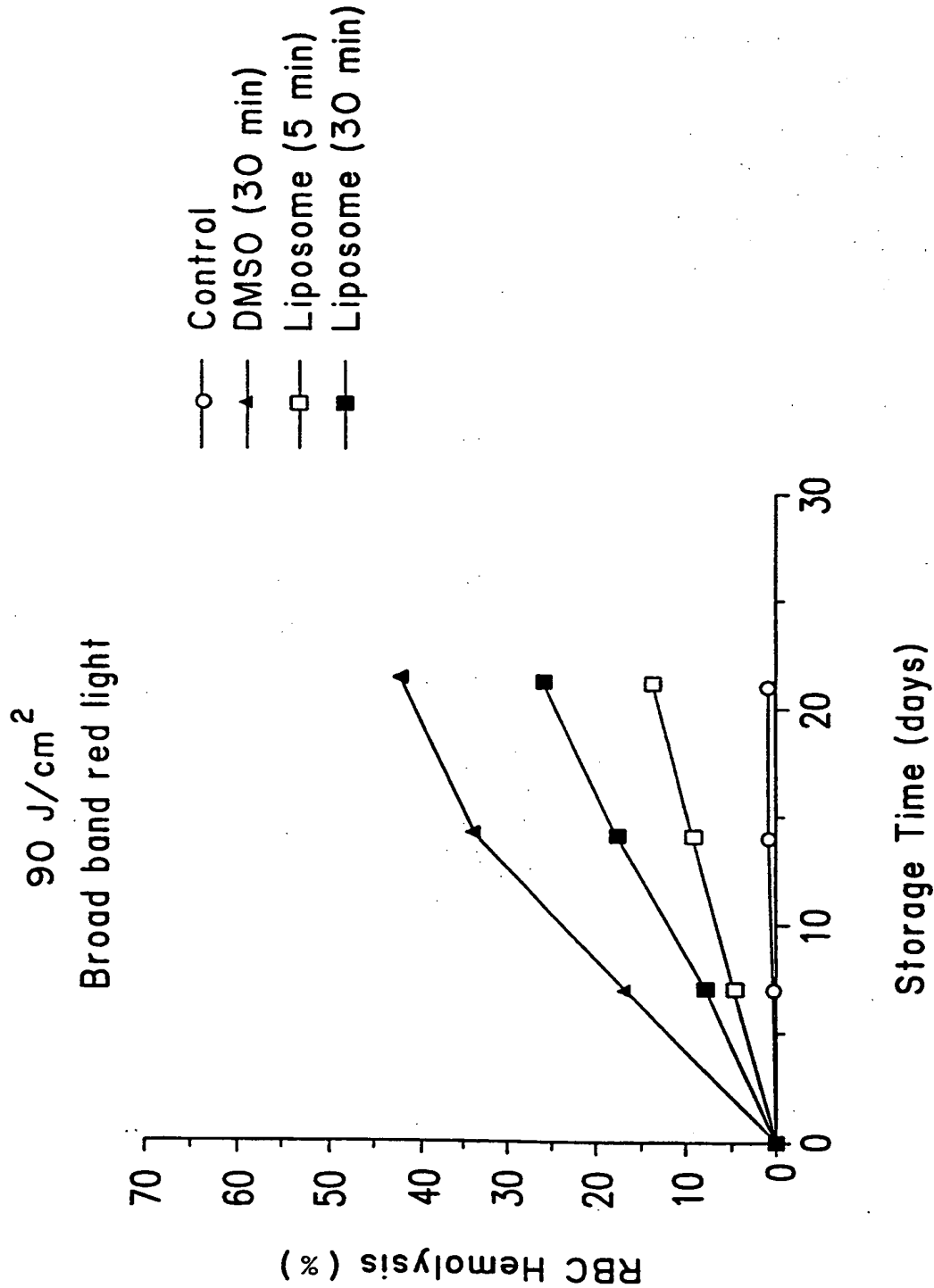


FIG.2

3/8

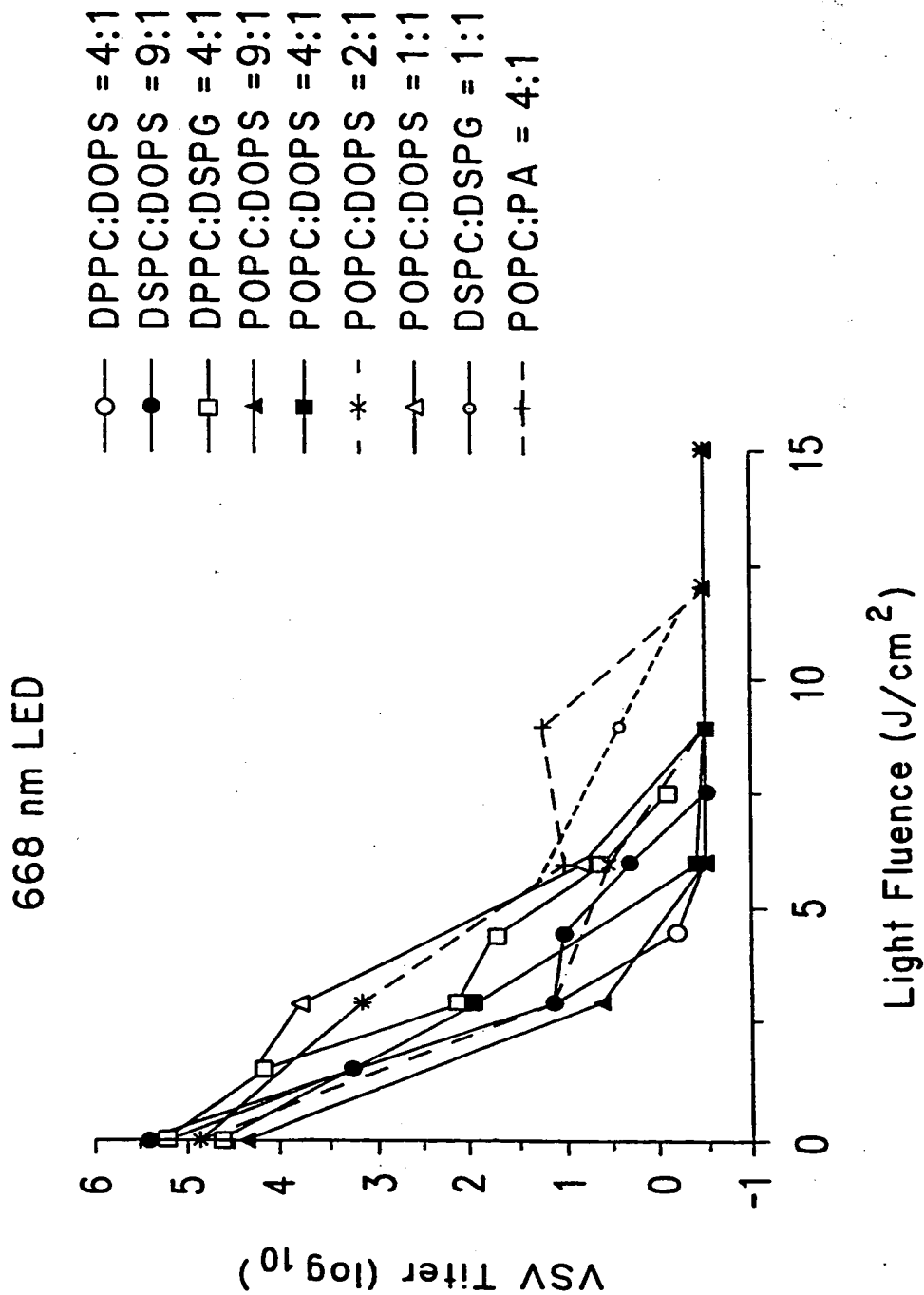


FIG.3

4/8

668 nm LED

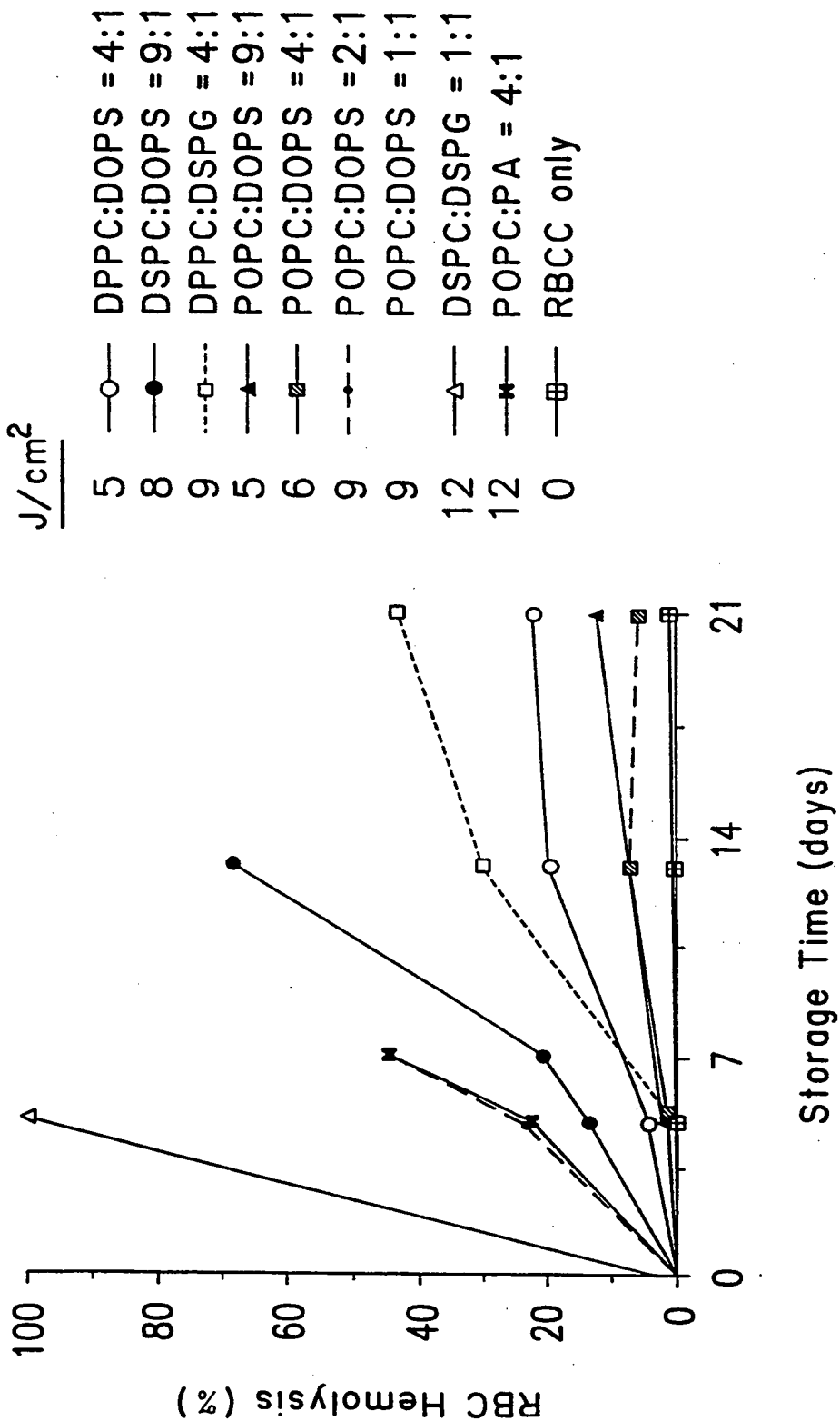


FIG. 4

5/8

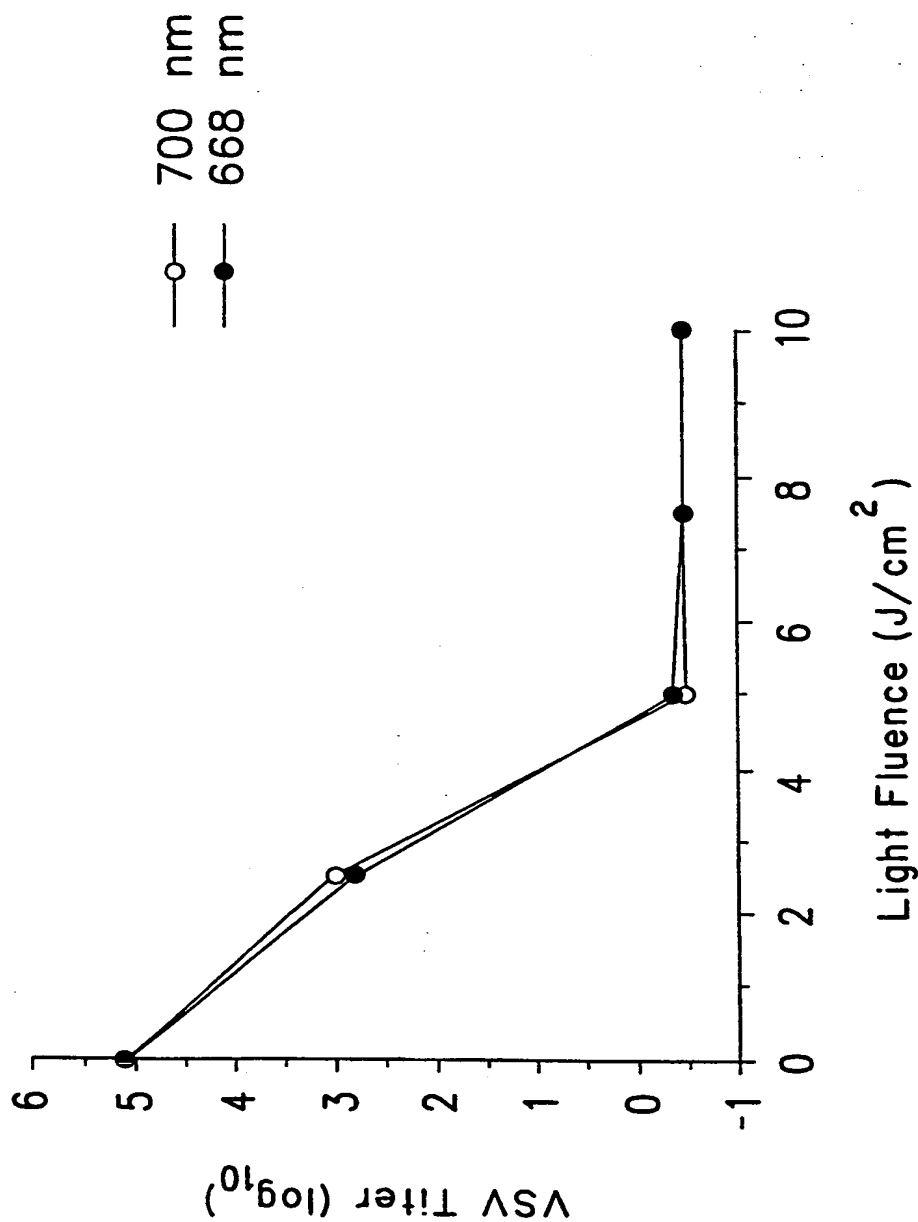


FIG. 5

6/8

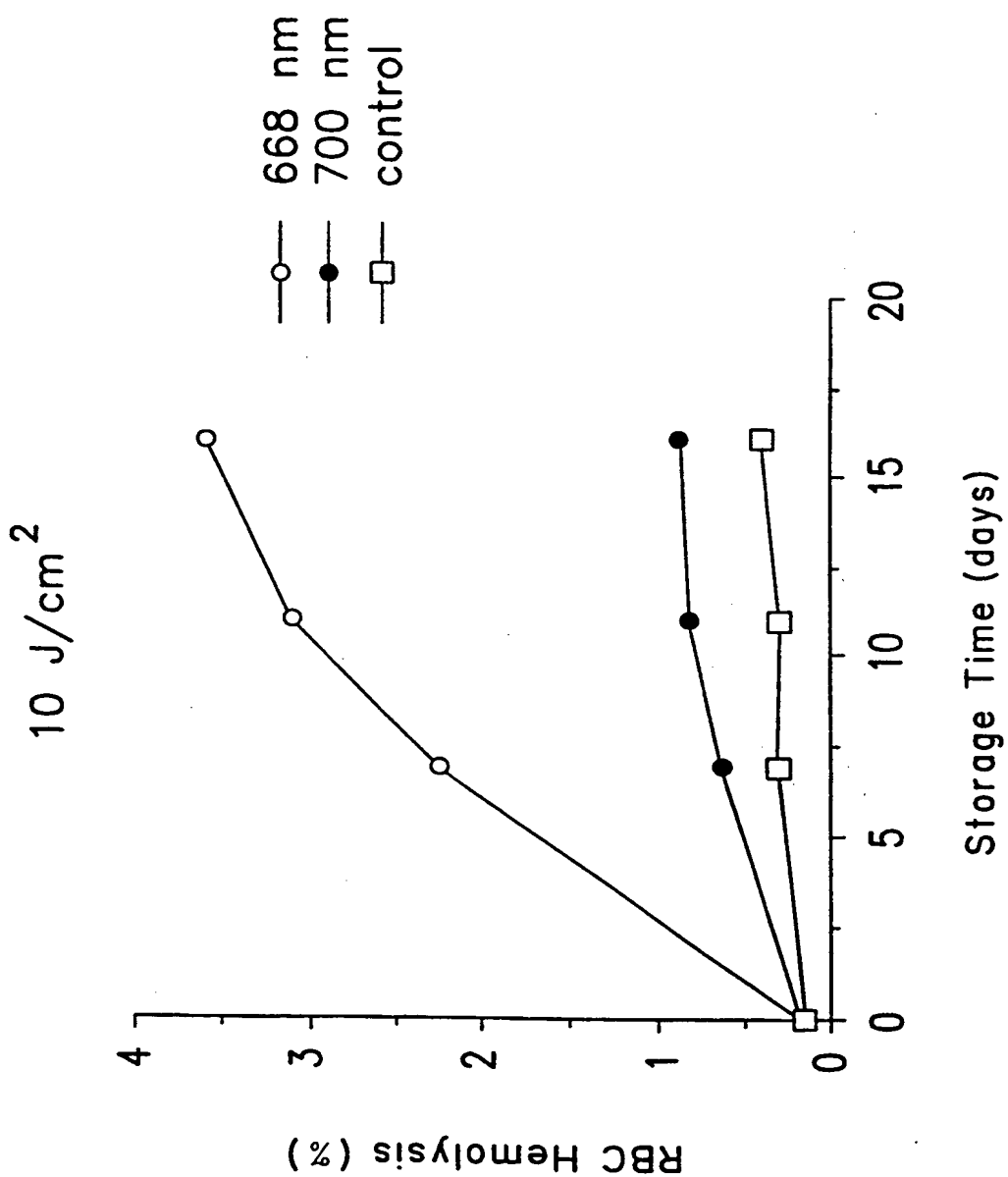


FIG 6

7/8

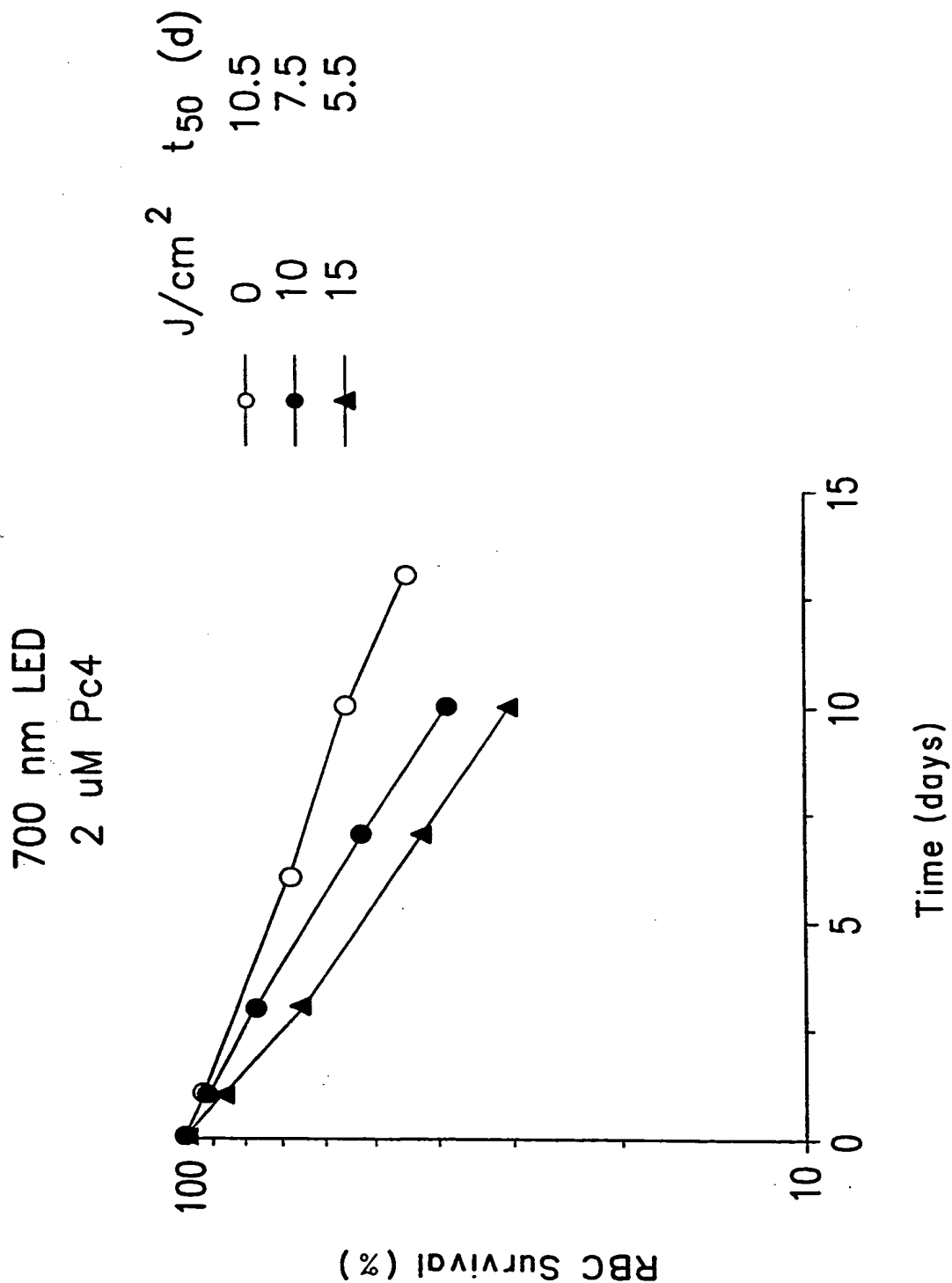


FIG. 7



8/8

# Hemolysis of RBC During Storage After a Virucidal Treatment with Pc4 + 670 nm Light

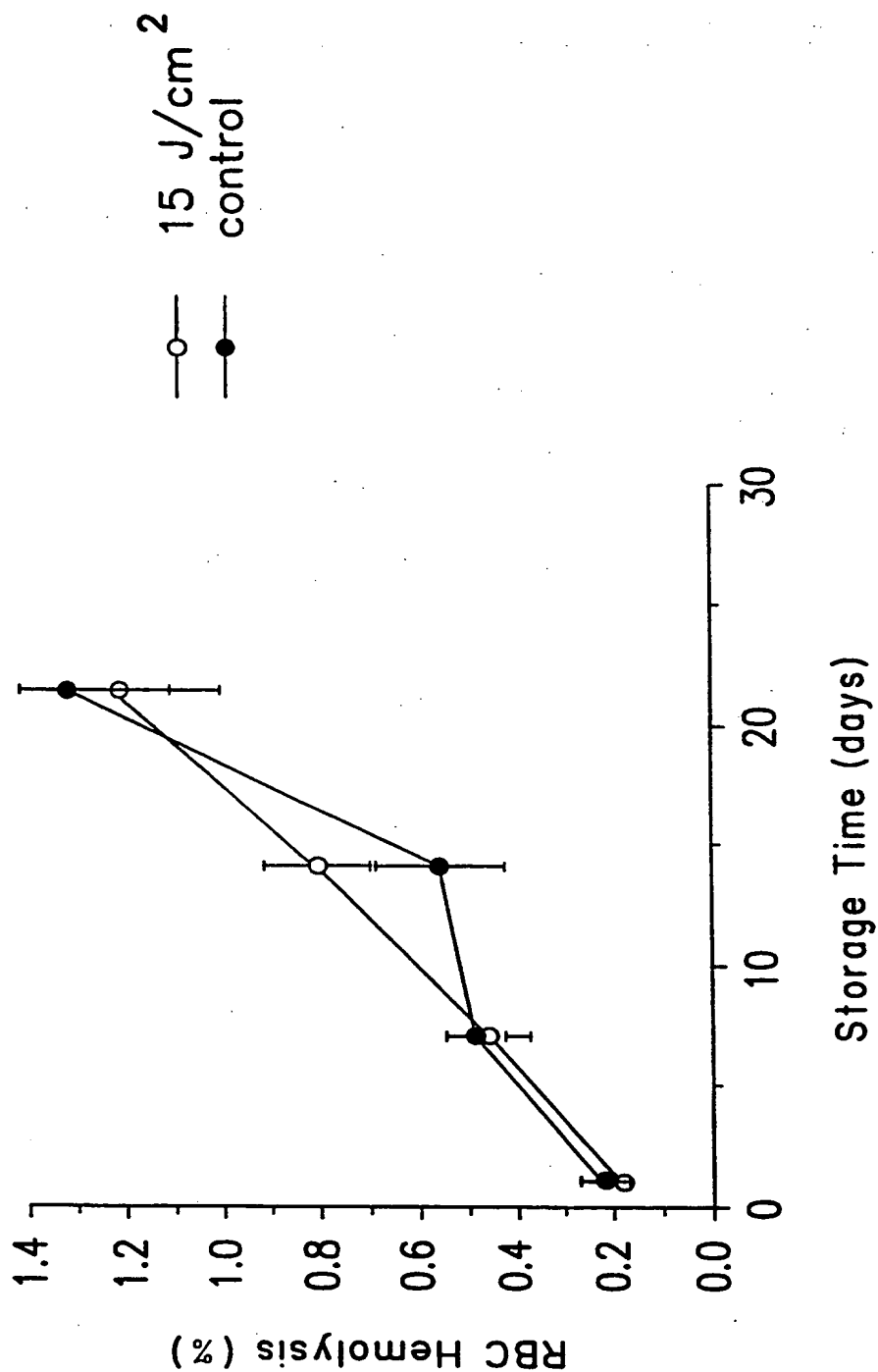


FIG 8

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/08522

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A01N 1/02; C12N 13/00

US CL : 435/2, 173.1, 173.3

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/2, 173.1, 173.3

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

INDEX BIOSCIENCE (and databases therein), APS;

search terms: viruc?, antivir? virus##, photochem?, photodynam?, photosens?, photoinact?, liposom##

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	MORGAN et al. Specific Targeting and Toxicity of Sulphonated Aluminum Phthalocyanine Photosensitised Liposomes Directed to Cells by Monoclonal Antibody in vitro. Br. J. Cancer. 1989, Vol. 65, No. 1, pages 366-370, see entire document.	34-39 ----- 1-33, 40-43
X --- Y	US 5,238,940 A (LIU et al.) 24 August 1993, especially col. 2, lines 49-63.	34-35 ----- 1-33
X --- Y	US 5,010,073 A (KAPPAS et al.) 23 April 1991, entire document.	34-35 ----- 1-33
X --- Y	US 5,599,831 A (PORETZ et al.) 04 February 1997, especially col. 1, lines 35-62.	34-35 ----- 1-33

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

22 MAY 1998

Date of mailing of the international search report

21 JUL 1998

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

JON P. WEBER, PH. D.

Telephone No. (703) 308-0196

## INTERNATIONAL SEARCH REPORT

 International application No.  
 PCT/US98/08522

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US 5,556,612 A (ANDERSON et al.) 17 September 1996, especially col. 6, lines 8-23.	34-35 ----- 1-33
X --- Y	US 5,023,087 A (YAU-YOUNG) 11 June 1991, especially col. 8, line 32 to col. 9, line 44.	44-49 ----- 50-51
X --- Y	US 4,873,088 A (MAYHEW et al.) 10 October 1989, especially col. 8, lines 23-39.	44-49 ----- 50-51
Y	US 5,089,181 A (HAUSER) 18 February 1992, especially cols. 4-5.	1-33
Y	US 5,232,844 A (HOROWITZ et al.) 03 August 1993, especially cols. 7-8.	1-33
Y	US 5,389,378 A (MADDEN) 14 February 1995, especially cols. 4, 7 and 10.	1-33
Y	US 5,516,629 A (PARK et al.) 14 May 1996, especially col. 8 and example 10.	1-33
Y	Database DISSABS, AN 97:54736, TERRIAN, D.L., The Photochemistry and Photobiology of Rhodium(III) Polypyridyl complexes and psoralen pro-drugs. Diss. Abst. Intl. 1996. Vol. 58, No. 3B, page 1276, in Diss. Abst.	1-33

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/08522

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐

The additional search fees were accompanied by the applicant's protest.

☒

No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/08522

## BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be searched, the appropriate additional search fees must be paid.

Group I, claims 1-43, drawn a first product, a liposome/sensitizer and a first method of use of the product in a photodynamic process.

Group II, claims 44-51, drawn to a second product, a liposome/quencher.

The inventions listed as Groups I and II do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: The products, liposome/sensitizer complex and liposome/quencher complex, are structurally different from each other because the sensitizer and quencher in the complexes share no structural feature in common and serve opposing chemical functions, enhancing a photochemical activation and stopping a photochemical activation. The structural features that make a good photosensitizer typically involve aromaticity. The structural features that make a good quencher are typically that they are good reducing agents.

**THIS PAGE BLANK (USPTO)**